



Attorney Docket No. 101729-10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kimberly A. Gillis et al.

Application No.: 09/996,630

Group Art Unit: 1619

Filed: November 28, 2001

Examiner: Not Yet Assigned

For: Expression Analysis of K1AA Nucleic Acids  
And Polypeptides Useful In The Diagnosis And  
Treatment of Prostate Cancer

Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL LETTER

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Supplemental Information Disclosure Statement;
2. PTO/SB/08 with cited (3) references thereon; and
3. Return receipt postcard.

The Commissioner is authorized to charge any overpayments or underpayments if necessary to our Deposit Account No. 141449, Reference No. 102729-10. A duplicate of this sheet is enclosed.

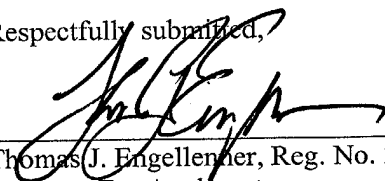
I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

February 5, 2003

Date

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Respectfully submitted,

  
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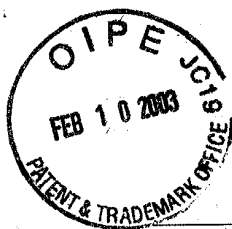


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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicants and their attorney have become aware of the following publications and information, cited in an International Search Report issued January 7, 2003 during the prosecution of PCT/US01/44539 which corresponds to the above referenced application, and in accordance with 37 CFR §1.97(c), hereby submit these publications for the Examiner's consideration. These publications are cited on the enclosed PTO Form 1449, and a copy of each publication cited thereon, as well as a copy of the Search Report, are also enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understand the Examiner will make an independent evaluation of the cited publications.

The undersigned hereby certifies that each item contained in this disclosure was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.



PTO/SB/08A (10-01)  
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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/996,630	
			Filing Date	November 28, 2001	
			First Named Inventor	Kimberly A. Gillis et al.	
			Art Unit	1619	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	102729-10

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	6,355,430 B1	3/12/02	Shyjan et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AB	McDoniels- Silvers, Amy et al. "Identification of Differentially Expressed Genes In Human Lung Adenocarcinomas And Squamous Cell Carcinomas" - Abstract XP-001097529	
	AC	Greeve., I et al. "Identification of A Novel Gene With Homology To Oxidoreductases by A Differential Display Screen of Affected And Non-Affected Regions in Alzheimer's Disease Brain" Abstract XP-009002929	

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Examiner Signature	Date Considered
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Total number of pages: 2

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